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020404

U.S.PTO

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 795-10-3

First Inventor Jeffrey T. Haley

Title Treating Mouth Ulcers with Patches to Speed Healing and Relieve Pain

Express Mail Label No. ER534688622US

10072099
PTO

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 18]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
- Oath or Declaration [Total Sheets]
 a. Newly executed (original or copy)

 b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with: Box 18 completed)

 i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. Paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. 3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (*if applicable*)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
15. Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No: _____ / _____

Prior application information: Examiner _____ Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS Customer Number

000996

OR Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Jeffrey T. Haley	Registration No. (Attorney/Agent)	34,834
Signature	<i>Jeffrey T. Haley</i>	Date	February 4, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 732

Complete if Known	
Application Number	
Filing Date	February 4, 2004
First Named Inventor	Jeffrey T. Haley
Examiner Name	
Art Unit	
Attorney Docket No.	795-10-3

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Other None
Order
 Deposit Account:

Deposit Account Number 07-1897

Deposit Account Name Graybeal Jackson Haley

The Director is authorized to: (check all that apply)

-
- Charge fee(s) indicated below
-
- Credit any overpayments
-
-
- Charge any additional fee(s) during the pendency of this application
-
-
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051	Surcharge - late filing fee or oath	
1052	50	2052	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	Non-English specification	
1812	2,520	1812	For filing a request for reexamination	
1804	920*	1804	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	Requesting publication of SIR after Examiner action	
1251	110	2251	Extension for reply within first month	
1252	420	2252	Extension for reply within second month	
1253	950	2253	Extension for reply within third month	
1254	1,480	2254	Extension for reply within fourth month	
1255	2,010	2255	Extension for reply within fifth month	
1401	330	2401	Notice of Appeal	
1402	330	2402	Filing a brief in support of an appeal	
1403	290	2403	Request for oral hearing	
1451	1,510	1451	Petition to institute a public use proceeding	
1452	110	2452	Petition to revive – unavoidable	
1453	1,330	2453	Petition to revive – unintentional	
1501	1,330	2501	Utility issue fee (or reissue)	
1502	480	2502	Design issue fee	
1503	640	2503	Plant issue fee	
1460	130	1460	Petitions to the Commissioner	
1807	50	1807	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	Submission of Information Disclosure Stmt	
8021	40	8021	Recording each patent assignment per property (times number of properties)	
1809	770	2809	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	Request for Continued Examination (RCE)	
1802	900	1802	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

1. BASIC FILING FEE					
Large Entity	Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	385
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)			(\$ 385)		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE					
Total Claims	49	-20 **	= 29	X 9	= 261
Independent Claims	5	-3 **	= 2	X 43	= 86
Multiple Dependent				X	= 0
Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$ 347)		

*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY					
Name (Print/Type)	Jeffrey T. Haley		Registration No. (Attorney/Agent)	34,834	Telephone (425) 455-5575
Signature				Date	February 4, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

UTILITY PATENT APPLICATION - CERTIFICATE OF MAILING

Inventor: Jeffrey T. Haley

Title of Invention: TREATING MOUTH ULCERS WITH PATCHES TO SPEED
HEALING AND RELIEVE PAIN

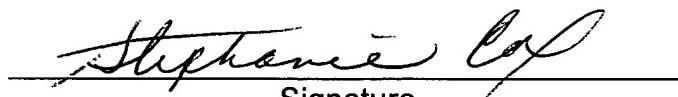
Attorney Dkt. No.: 795-10-3

CERTIFICATE OF MAILING OR TRANSMISSION

"Express Mail" mailing label number: ER 534688622 US

Date of Deposit: February 4, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR, Section 1.10 on the date indicated above and is addressed to Mail Stop New Application, Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450 by



Signature

Enclosures:

Utility Patent Application Transmittal
Utility Application (18 pages)
Formal Drawings
Declaration and Power of Attorney
Fee Transmittal
Check No. 21702 for \$732.00 filing fee
Return postcard